# Evaluating the anharmonicity contributions to the molecular excited state internal conversion rates with finite temperature TD-DMRG ⊘

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# **Evaluating the anharmonicity contributions** to the molecular excited state internal conversion rates with finite temperature TD-DMRG

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#### **ABSTRACT**

In this work, we propose a new method to calculate molecular nonradiative electronic relaxation rates based on the numerically exact timedependent density matrix renormalization group theory. This method could go beyond the existing frameworks under the harmonic approximation (HA) of the potential energy surface (PES) so that the anharmonic effect could be considered, which is of vital importance when the electronic energy gap is much larger than the vibrational frequency. We calculate the internal conversion (IC) rates in a two-mode model with Morse potential to investigate the validity of HA. We find that HA is unsatisfactory unless only the lowest several vibrational states of the lower electronic state are involved in the transition process when the adiabatic excitation energy is relatively low. As the excitation energy increases, HA first underestimates and then overestimates the IC rates when the excited state PES shifts toward the dissociative side of the ground state PES. On the contrary, HA slightly overestimates the IC rates when the excited state PES shifts toward the repulsive side. In both cases, a higher temperature enlarges the error of HA. As a real example to demonstrate the effectiveness and scalability of the method, we calculate the IC rates of azulene from  $S_1$  to  $S_0$  on the *ab initio* anharmonic PES approximated by the one-mode representation. The calculated IC rates of azulene under HA are consistent with the analytically exact results. The rates on the anharmonic PES are 30%-40% higher than the rates

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# I. INTRODUCTION

Nonradiative electronic relaxation (NRER) is an important process in the photophysics of molecular optoelectronic materials. It includes an internal conversion (IC) process between the electronic states of the same spin manifold and an intersystem crossing process (ISC) between the electronic states of different spin manifolds.<sup>1</sup> For the organic photovoltaics and organic light-emitting system, the NRER process from the excited state to the ground state is a harmful process that dissipates electronic energy into vibrational reservoirs and leads to the reduction in the energy conversion efficiency of the devices. Considering the important role of NRER in the molecular photophysical processes, how to calculate the rate of NRER theoretically has always been a hot topic.2-

Currently, the real-time nonadiabatic dynamics simulation and the rate theory relying on Fermi's golden rule (FGR) are the two

main approaches to study the NRER process. Nonadiabatic dynamics directly simulate the nuclear motions over the coupled potential energy surfaces (PESs) to obtain the real-time population on each electronic state. Although full-quantum dynamics methods have made great progress in recent years, it is still limited by the system size of complex molecules. 10-12 Even if less accurate, nonadiabatic mixed quantum-classical (NA-MQC) dynamics methods provide a promising way to handle large systems. 13-15 One of the intriguing features of NA-MQC dynamics is that it could combine with the modern electronic structure calculation in an on-the-fly fashion to simulate ab initio dynamics without requiring a precomputed global PES, which is necessary for most full-quantum wave-packet methods.<sup>16</sup> Recently, several semiclassical methods have also been extended to simulate the nonadiabatic dynamics combined with the mapping strategy. 17-20 It should be noted that in these methods, the anharmonicity of the molecular PES is inherently considered. The main shortcoming of the real-time nonadiabatic dynamics methods to investigate the NRER process is that the accessible timescale is often limited to several picoseconds. Hence, they are suitable to describe the ultrafast transition process, such as transition through the conical intersection where the coupling between the electronic states is very strong.<sup>21</sup> However, the NRER rates of a large portion of useful fluorescent molecules have timescales of nanosecond or even longer,<sup>22</sup> far beyond what the current real-time nonadiabatic dynamics methods could accurately reach.

Complementary to the real-time simulation, in the regime where the coupling between the states is weak, the rate theory based on FGR has been successfully developed to describe the relatively slower processes. The study on this topic has a long history. Robinson and Frosch first outlined the harmonic oscillator approximation model to describe the NRER processes 50 years ago. 23,24 Afterward, Lin established the framework using the displacement harmonic oscillator model to treat small polyatomic molecules with the Duschinsky rotation effect (DRE) (mode-mixing effect) under the promoting mode approximation. 3,25,26 In recent years, Shuai et al. have developed an analytical formalism called the thermal vibration correlation function (TVCF) to calculate the NRER rate in the time domain.<sup>5,27–29</sup> Under the harmonic approximation (HA) of the initial and final electronic PES, this formalism could fully take the DRE into consideration and give the analytically exact transition rates. This method has been successfully used to calculate the NRER rate, including IC and ISC processes of a lot of molecules at the ab initio level.<sup>29,30</sup> However, it is known that HA is only valid in the low energy regime around the equilibrium geometry, and the higher the energy, the stronger the anharmonic effect, especially for the floppy modes. Consequently, HA may not be reliable to describe the PES of the lower electronic state in the NRER process because the large electronic excitation energy is dumped into vibrations, resulting in relatively high vibrational quanta. Some former studies have attempted to investigate the anharmonic effect on the NRER rates of molecules in the FGR framework. Ianconescu and Pollak applied the semiclassical initial value representation method to study the IC rate in a two-mode model with Morse potential.<sup>31</sup> They found that HA is mostly unsatisfactory in a wide parameter regime. Humeniuk et al. assessed the validity of HA for several coumarin dyes when predicting the fluorescence quantum yields in solution.<sup>32</sup> They found that the accuracy of HA for the radiative decay rate is remarkable, while HA will underestimate the IC rates. Hence, HA will lead to an unreliable prediction of fluorescence quantum yield compared to the experiments. However, their method to deal with the Morse PES is based on the exact diagonalization and sum-ofstates approach, which is not scalable to large systems. Although the aforementioned semiclassical method is scalable and seems promising in a model system, further benchmarking is still required to verify the universality. Therefore, it is important to develop a scalable and numerically exact method to calculate NRER rates beyond HA.

In this work, we propose to calculate the NRER rate with the numerically exact time-dependent density matrix renormalization group method (TD-DMRG).<sup>33–36</sup> In recent years, TD-DMRG has emerged as a powerful method to simulate large-scale full-quantum dynamics,<sup>37–44</sup> such as electronic spectroscopy of molecular aggregates, real-time internal conversion in pyrazine, and carrier mobility in one-dimensional molecular crystals. There are several advantages

of TD-DMRG compared to the other numerical methods: (i) The accuracy could be systematically improved by a single parameter. (ii) The Hamiltonian that can be handled is flexible once it could be represented in a sum-of-products (SOP) form, <sup>45,46</sup> and thus, TD-DMRG could handle both model anharmonic PES and PES of real molecules after fitting or re-fitting to an SOP form. <sup>47,48</sup> (iii) The scaling of computational cost is polynomial with the system size, and thus, it is scalable for polyatomic molecules. (iv) The time evolution of the wavefunction (at zero temperature) and density matrix (at finite temperature) could be simulated in the same framework. <sup>49,50</sup> These advantages make TD-DMRG a suitable method to calculate the molecular NRER rates.

The remaining sections of this paper are arranged as follows: In Sec. II, the Hamiltonian and the TD-DMRG method are described. In Sec. III, first, the IC rates of a two-mode model system with Morse potential are investigated to assess the validation of HA at different circumstances. Unlike the harmonic potential, the IC rate with the Morse potential is not analytically solvable. Second, as a real example to demonstrate the effectiveness and scalability of the method, the IC rates of azulene on the *ab initio* anharmonic PES approximated by the one-mode representation are calculated. The rates calculated under HA are also compared with the analytically exact results. Finally, the conclusion is presented in Sec. IV.

# II. THEORY

#### A. Hamiltonian and transition rate

The molecular Hamiltonian of two uncoupled electronic states can be expressed as Eq. (1), where the mass-weighted coordinates  $q_l$  are used. The potential energy operator is expanded by the two adiabatic electronic states  $|\psi_i\rangle$  and  $|\psi_f\rangle$ ,

$$\hat{H}_0 = \sum_{l=1}^N \frac{\hat{p}_l^2}{2} + \begin{bmatrix} V_f(q_1, q_2, \dots, q_N) & 0\\ 0 & V_i(q_1, q_2, \dots, q_N) \end{bmatrix}.$$
(1)

N is the total number of vibrational coordinates in the system.  $V_{ijf}$  is the (semi-)global PES of the initial/final electronic state in the transition process. To set up the Hamiltonian for a specific molecule, the difficulty is how to obtain the PES  $V_{iif}$ . Even nowadays, it is still a hard task to obtain a (semi-)global PES for polyatomic molecules with more than 20 atoms. For large systems, the high-order Taylor expansion of the PES at the equilibrium geometry is often used to calculate the anharmonic frequencies. However, it is known that high-order Taylor expansion often has artificial "holes" on the PES, which is disastrous for the variational approaches such as DMRG. The cut-high dimensional model representation (cut-HDMR) or the so-called n-mode representation (n-MR) method  $^{53,54}$  can partially solve this problem. Hence, we use n-MR to approximate the PES of real molecules below. n-MR approximates the exact potential in a hierarchical manner. The following equation shows 2-MR of PESs:

$$V(q_1, q_2, \dots, q_N) = V^{(0)} \left( \mathbf{q}^{\text{ref}} \right) + \sum_i V^{(1)} \left( q_i; \mathbf{q}_i^{\text{ref}} \right)$$
$$+ \sum_{i < j} V^{(2)} \left( q_i, q_j; \mathbf{q}_{ij}^{\text{ref}} \right) + \cdots, \tag{2}$$

where

$$V^{(1)}(q_i; \mathbf{q}_i^{\text{ref}}) = V(q_i; \mathbf{q}_i^{\text{ref}}) - V^{(0)}(\mathbf{q}^{\text{ref}}),$$
 (3)

$$V^{(2)}(q_i, q_j; \mathbf{q}_{ij}^{\text{ref}}) = V(q_i, q_j; \mathbf{q}_{ij}^{\text{ref}}) - V^{(1)}(q_i; \mathbf{q}_i^{\text{ref}}) - V^{(1)}(q_i; \mathbf{q}_i^{\text{ref}}) - V^{(0)}(\mathbf{q}^{\text{ref}}).$$
(4)

 $V^{(0)}(\mathbf{q}^{\mathrm{ref}})$  is the energy at the reference point  $\mathbf{q}^{\mathrm{ref}}$ , which is commonly chosen at the equilibrium point.  $V(q_i; \mathbf{q}_i^{\text{ref}})$  is the onedimensional (1D) cut of the PES, which only includes anharmonicity within a single mode and  $(q_i; \mathbf{q}_i^{\text{ref}})$  indicates that only  $q_i$  is allowed to be different from the reference point.  $V(q_i, q_j; \mathbf{q}_{ij}^{\text{ref}})$  is the 2D cut of the PES, which also includes mode-coupling. In  $\boldsymbol{V}^{(1)}$  and  $\boldsymbol{V}^{(2)}$ , all the low order terms are excluded to avoid the double-counting. When n = N, the hierarchical expansion is exact. In practice, it is usually found that low order *n*-MR has already been accurate enough. One typical way to obtain *n*-MR is to compute the potential energy values on a set of grid points and then interpolate or fit functions accordingly. With the low order mode representation terms, it is convenient to convert them into the operators with an SOP format.<sup>55</sup>

Under HA, this difficulty in constructing the (semi-)global PES is bypassed, and only two normal mode analyses at the equilibrium geometry are required. The PES can be simplified with the normal coordinates,

$$V_{i/f} = \sum_{l} \frac{1}{2} \omega_{i/f, l}^2 q_{i/f, l}^2 + V_{i/f}^{(0)}.$$
 (5)

 $\omega_{i/f,l}$  is the harmonic frequency of the *l*th normal mode. The normal coordinates  $q_{i/f}$  of the initial and final states are connected by the Duschinsky rotation matrix S and the normal-mode projected displacement  $\Delta q$  as Eq. (6). The method to calculate these two parameters at the ab initio level has been well established, 28

$$q_{f,m} = \sum_{l} S_{ml} q_{i,l} - \Delta q_{f,m}. \tag{6}$$

The perturbation operator that couples the two electronic states is denoted as  $\hat{H}_1$ . In the IC process,  $\hat{H}_1$  is the first order nonadiabatic coupling operator,

$$\hat{H}_1 = \sum_{m} (\langle \psi_i | \hat{p}_m | \psi_f \rangle | \psi_i \rangle \langle \psi_f | + \text{h.c.}) \hat{p}_m.$$
 (7)

In the ISC process,  $\hat{H}_1$  is the spin-orbit coupling operator,

$$\hat{H}_1 = \langle \psi_i | \hat{V}_{SOC} | \psi_f \rangle | \psi_i \rangle \langle \psi_f | + \text{h.c.}$$
 (8)

When the coupling is weak, it is appropriate to calculate the transition rate between the two electronic states with FGR,

$$W_T = \frac{2\pi}{\hbar} \sum_{i,f} P_i |H_{1,if}|^2 \delta(E_f - E_i).$$
 (9)

 $P_i$  is the Boltzmann distribution of the initial state i at temperature T. We calculate  $W_T$  in the time domain by Fourier transform of the Dirac function [Eq. (10)]. Hence, the key to calculate the rate is to calculate the time correlation function (TCF) shown in Eq. (12), where  $\beta = (k_B T)^{-1}$  and Z is the partition function,

$$\delta(E_f - E_i) = \frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} e^{-i(E_f - E_i)t/\hbar} dt, \tag{10}$$

$$W_T = \frac{1}{\hbar^2} \int_{-\infty}^{\infty} \langle \hat{H}_1(t) \hat{H}_1 \rangle_T dt, \tag{11}$$

$$\langle \hat{H}_1(t)\hat{H}_1\rangle_T = \text{Tr}\bigg(\frac{1}{Z}e^{-\beta\hat{H}_0}e^{i\hat{H}_0t/\hbar}\hat{H}_1e^{-i\hat{H}_0t/\hbar}\hat{H}_1\bigg). \tag{12}$$

At T = 0, the TCF can be further simplified to the following equation:

$$\langle \hat{H}_1(t)\hat{H}_1 \rangle = e^{iE_0t/\hbar} \langle 0|\hat{H}_1 e^{-i\hat{H}_0t/\hbar} \hat{H}_1|0\rangle, \tag{13}$$

where  $|0\rangle$  is the lowest eigenstate of the initial PES. In this work, we focus on the rate of the IC process with the nonadiabatic coupling operator as given in Eq. (7). However, the rate of the ISC process can be calculated in the same manner. For IC with the Condon approximation,

$$|H_{1,if}|^2 = |\langle \phi_i | \langle \psi_i | \hat{H}_1 | \psi_f \rangle | \phi_f \rangle|^2 = \sum_{m,n} I_m^* I_n, \tag{14}$$

$$I_m = \langle \psi_i | \hat{p}_m | \psi_f \rangle \langle \phi_i | \hat{p}_m | \phi_f \rangle, \tag{15}$$

where  $\phi_{i/f}$  is the vibrational wavefunction. From Eq. (14), we can find that  $|H_{1,if}|^2$  is a summation over two parts: diagonal terms with n = m and off-diagonal terms with  $n \neq m$ . If the vibrational degrees of freedom (DoFs) are uncoupled,  $I_m$  can be further simplified as

$$I_{m} = \langle \psi_{i} | \hat{p}_{m} | \psi_{f} \rangle \langle \chi_{i}(q_{m}) | \hat{p}_{m} | \chi_{f}(q_{m}) \rangle \prod_{l \neq m} \langle \chi_{i}(q_{l}) | \chi_{f}(q_{l}) \rangle, \tag{16}$$

where  $\chi(q_m)$  is the eigenstate of a single DoF  $q_m$ .

#### **B. TD-DMRG method**

In TD-DMRG, the wavefunction ansatz is

$$|\Psi\rangle = \sum_{\{\sigma\}} C_{\sigma_1 \sigma_2 \cdots \sigma_N} |\sigma_1 \sigma_2 \cdots \sigma_N\rangle \tag{17}$$

$$= \sum_{\{a\},\{\sigma\}} A_{a_1}^{\sigma_1} A_{a_1,a_2}^{\sigma_2} \cdots A_{a_{N-1}}^{\sigma_N} | \sigma_1 \sigma_2 \cdots \sigma_N \rangle, \tag{18}$$

where  $|\sigma_i\rangle$  is the orthonormal primitive basis set for each DoF. N is the total number of DoFs in the system. As the full-rank coefficient  $C_{\sigma_1\sigma_2\cdots\sigma_N}$  is approximated as the product of a chain of rank-3 matrix  $A^{\sigma_1}_{a_{i-1},a_i}$ , this ansatz is called a matrix product state (MPS).<sup>50</sup> The dimension of  $a_i$  is called the (virtual) bond dimension, denoted as  $M_S$ . It is worth noting that the accuracy of an MPS can be systematically improved with  $M_S$ . The dimension of  $\sigma_i$  is called the physical bond dimension, denoted as d. In this work, we use the simple harmonic oscillator basis to expand each DoF. If necessary, the discrete variable representation (DVR)<sup>58</sup> is used to approximate the matrix elements of potential energy operators, such as the Morse-type operator. The details are given in the supplementary material. Similar to the wavefunction, a common operator  $\hat{O}$  can also be represented in the matrix product form, called the matrix product operator (MPO), as shown in the following equation:

$$\hat{O} = \sum_{\{w\}, \{\sigma\}, \{\sigma'\}} W_{w_1}^{\sigma'_1 \sigma_1} W_{w_1, w_2}^{\sigma'_2 \sigma_2} \cdots W_{w_{N-1}}^{\sigma'_N \sigma_N} 
\times |\sigma'_1 \sigma'_2 \cdots \sigma'_N \rangle \langle \sigma_N \sigma_{N-1} \cdots \sigma_1 |.$$
(19)

With MPO, it is convenient to represent  $\hat{O}|\Psi\rangle$  as another enlarged MPS with bond dimension  $M_O M_S$ ,

$$\hat{O}|\Psi\rangle = \sum_{\{w,a\},\{\sigma'\}} A_{\{w,a\}_1}^{\prime\sigma'_1} A_{\{w,a\}_1,\{w,a\}_2}^{\prime\sigma'_2} \cdots A_{\{w,a\}_{N-1}}^{\prime\sigma'_N} \times |\sigma'_1\sigma'_2\cdots\sigma'_N\rangle,$$

where

$$A_{\{w,a\}_{i-1},\{w,a\}_{i}}^{\prime\sigma_{i}^{\prime}} = \sum_{\sigma} W_{w_{i-1},w_{i}}^{\sigma_{i}^{\prime}\sigma_{i}} A_{a_{i-1},a_{i}}^{\sigma_{i}}.$$
 (21)

In Eq. (13), the initial state  $|0\rangle$  at zero temperature can be obtained through the typical DMRG ground state algorithms by iteratively optimizing each local matrix A.<sup>34,50</sup> At finite temperature, to obtain the thermal equilibrium density matrix  $\rho_{\beta} = \frac{e^{-\beta \hat{H}_0}}{Z(\beta)}$  for a canonical ensemble, the imaginary-time Schrödinger equation is integrated from  $\tau = 0$  to  $\tau = \beta/2$ ,

$$-\frac{\partial}{\partial \tau}\rho(\tau) = \hat{H}_0\rho(\tau). \tag{22}$$

The initial state  $\rho(0)$  at infinitely high temperature ( $\beta = 0$ ) is a locally maximally entangled state, which is easily represented as an MPO with  $M_O = 1$ ,

$$\rho(0) = \prod_{i} \sum_{\sigma_i} \frac{1}{\sqrt{d}} |\sigma_i\rangle \langle \sigma_i|.$$
 (23)

 $\rho(\tau)$  is normalized under condition  $\langle\langle\rho(\tau)|\rho(\tau)\rangle\rangle$  = Tr( $\rho(\tau)^{\dagger}\rho(\tau)$ ) = 1 after each step of time-evolution. Therefore,  $\rho(\beta/2)=e^{-\beta \dot{H}_0/2}/\sqrt{Z(\beta)}=\rho_{\beta}^{1/2}.$  Hence, the TCF in Eq. (12) can be re-expressed as

$$C(t) = \text{Tr}\Big(\rho_{\beta}^{1/2} e^{i\hat{H}_0 t/\hbar} \hat{H}_1 e^{-i\hat{H}_0 t/\hbar} \hat{H}_1 \rho_{\beta}^{1/2}\Big). \tag{24}$$

This method can equivalently be formulated according to the thermal field dynamics method, also known as the purification method, by introducing an auxiliary space.<sup>49,50</sup>

There are many time evolution schemes to propagate the wavefunction and density matrix according to the Schrödinger equation along the real-time or imaginary-time axes, and they are thoroughly compared in Refs. 59 and 60. In this work, we adopt the time-dependent variational principle-based evolution schemes. The variable-mean-field (VMF) scheme is used to propagate the wavefunction with matrix unfolding and adaptive Dormand-Prince's 5/4 Runge-Kutta algorithm. The second-order projector-splitting (PS) scheme is used to propagate the density matrix for higher efficiency. Readers are referred to our former works for more details about the derivation and implementation. The computational cost of a single time-step is  $O(N(M_S^2M_O^2d^2 + M_S^3M_Od + M_S^3d^2))$  for the former and  $O(N(M_S^2M_O^2d^4 + M_S^3M_Od^2))$  for the latter, which are both polynomial with the system size. All the calculations in Sec. III are carried out with our in-house code Renormalizer.

#### **III. RESULTS AND DISCUSSIONS**

# A. Two-mode model with Morse potential

In this section, we adopt a minimal two-mode model with Morse potential as in Ref. 31 to investigate the anharmonic effect on the internal conversion rate from the excited state to the ground state in which the PES of the ground state is characterized by two independent Morse potentials along each vibrational DoF, while the PES of the excited state is still harmonic (typically, the excited state is

prepared at low energies where a harmonic approximation is reasonable). In addition, there is no mode mixing between the two PESs. The potential operator is

$$V_i = V_e = \sum_{l=1,2} \frac{1}{2} \omega_{e,l}^2 q_{e,l}^2 + V_i^{(0)}, \qquad (25)$$

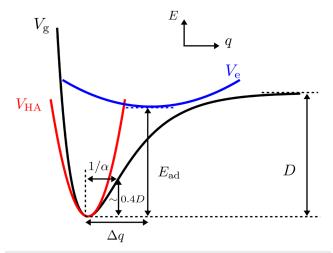
$$V_f = V_g = \sum_{l=1,2} D_l (1 - e^{-\alpha_l q_{g,l}})^2 + V_f^{(0)},$$
 (26)

$$q_{e,l} = q_{g,l} - \Delta q_l, \tag{27}$$

$$V_i^{(0)} - V_f^{(0)} = E_{\text{ad}},$$
 (28)

where  $E_{\rm ad}$  is the adiabatic excitation energy. The two parameters to define a Morse potential are the dissociation energy D and the "width" of the potential well  $1/\alpha$ . A schematic diagram of the potential energy curve along one coordinate is shown in Fig. 1. A positive/negative  $\Delta q$  represents that the excited state PES is shifted toward the dissociative/repulsive side of the ground state PES. Even though this model seems simple, unlike the harmonic potential, the internal conversion rate with the Morse potential cannot be calculated analytically. To construct the MPO for the system Hamiltonian, we use the symbolic method developed in our former work<sup>46</sup> to first construct the symbolic MPO and then expand every operator on the primitive basis to obtain a numerical one. The site ordering is another key aspect of a DMRG calculation. Although it was discussed to some extent in some former studies for vibronic models, 41,43 what is the optimal ordering is still unclear. In this calculation, the site ordering is  $e, q_1, q_2$ .

In order to compare with the results in Ref. 31, the same parameters are adopted here,  $D_1 = D_2 = D = 5.52$  eV and  $\alpha_1 = \alpha_2 = \alpha = 2.23$  amu<sup>-1/2</sup>Å<sup>-1</sup> (0.0277a.u.). Under HA, the harmonic frequency



**FIG. 1.** A schematic diagram of the potential energy curve of the two-mode model along one coordinate. The black curve is the Morse potential  $V_g = D(1 - e^{-\alpha q})^2$  of the ground state. The red curve is the harmonic approximation of the Morse potential at the equilibrium position. The blue curve is the harmonic potential of the excited state.

at the equilibrium position is  $\omega_{g,1} = \omega_{g,2} = \omega_g = \sqrt{2\alpha^2 D}$  = 3868 cm<sup>-1</sup>. The harmonic excited state PES has  $\omega_{e,1} = \omega_{e,2}$  =  $\omega_e = 774$  cm<sup>-1</sup>. In addition, the displacements are the same for the two DoFs  $\Delta q_1 = \Delta q_2 = \Delta q$ . The derivative coupling along each coordinate is set to be the same  $\langle \psi_e | \frac{\partial}{\partial q_1} | \psi_g \rangle = \langle \psi_e | \frac{\partial}{\partial q_2} | \psi_g \rangle = C$ . Hence, the generalized internal conversion rate is defined as  $k_{ic} = W_T/C^2$  using the constant  $C^2$  as the unit. As in Ref. 31, the TCF is multiplied by a Gaussian type broadening factor to make it converge after a finite period of time,

$$W_T = \frac{1}{\hbar^2} \int_{-\infty}^{\infty} \langle \hat{H}_1(t) \hat{H}_1 \rangle_T e^{-\frac{\sigma(E_c)^2 t^2}{2\hbar^2}} dt.$$
 (29)

 $\sigma(E_{\rm e})$  is chosen to represent the mean energy interval between the successive vibrational states on the ground state,

$$N(E_{\rm e}) = \text{Tr}[\Theta(E_{\rm e} - \hat{H}_{\rm g})] \approx \frac{1}{2} \left[ \left( \frac{E_{\rm e}}{\hbar \omega_{\rm g}} \right)^2 + \frac{E_{\rm e}}{\hbar \omega_{\rm g}} \right],$$
 (30)

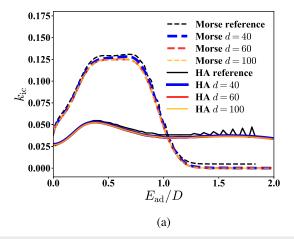
$$\sigma(E_{\rm e}) = \frac{{\rm d}E_{\rm e}}{{\rm d}N},\tag{31}$$

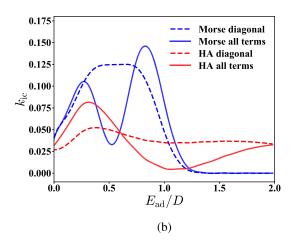
where  $\Theta$  is the Heaviside step function and  $N(E_e)$  is the number of quantum states below  $E_e$ .  $E_e$  is the lowest energy of the excited vibronic state. As in Ref. 31, the actual  $\sigma(E_e)$  used in all the calculations is seven times the value defined in Eqs. (30) and (31). Since in the current model the two modes are not coupled or mixed, the formal propagator  $e^{\hat{H}_g/e^T}$  can be exactly represented as an MPO with  $M_O = 1$  (actually no matter what the system size is in this model), and the initial state  $|0\rangle$  at zero temperature or  $\rho(0)$  at finite temperature is also a Hartree product state with  $M_S = 1$ . In addition,  $\hat{H}_1$  could be represented as an MPO with  $M_O = 2$ . Therefore, during the time-evolution, the time-dependent wavefunction in Eqs. (13) and (24) could be exactly represented as an MPS with at least  $M_S = 2$  (the numerical results with different  $M_S$  are shown in Fig. S1 of

the supplementary material). It should be mentioned that in Ref. 31, the Hamiltonian includes a momentum coupling term  $\hat{p}_1\hat{p}_2/M$ . Since this term is found to have only a minor effect on  $k_{\rm ic}$ , it is neglected in this work. In the subsequent numerical results, the time step is 8 a.u. (about 0.2 fs). The total simulation time is 240 a.u. to obtain the TCF using TD-DMRG, and then,  $k_{\rm ic}$  is calculated according to Eq. (29). We note that in Ref. 31, only the diagonal terms n=m of the summation in Eq. (14) are included to calculate  $k_{\rm ic}$  and the off-diagonal terms  $n\neq m$  are all neglected. This approximation is similar to the widely known promoting mode approximation,<sup>3</sup> which is valid in the case that only one mode called the promoting mode has an appreciable derivative coupling and its displacement is approximately zero. However, considering that this approximation may not always be suitable for all systems, we include the off-diagonal terms when calculating the internal conversion rates.

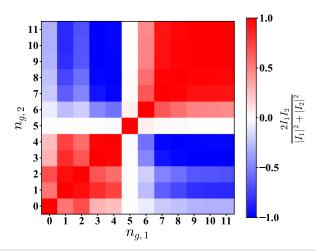
First, we consider the zero temperature case in which the initial state is the lowest vibronic state of the excited state with zero vibrational quanta in each normal coordinate. With  $\Delta q = 0.7/\alpha$  fixed,  $k_{\rm ic}$ with different  $E_{ad}$  is shown in Fig. 2(a) in which only the diagonal terms in Eq. (14) are included. The results of TD-DMRG have already converged with physical bond dimension d = 60 (the largest quanta of the harmonic oscillator basis) and are consistent with the results of Ref. 31 by the semi-classical initial value representation approach. However, Fig. 2(b) shows that the off-diagonal terms are also very important in this model, which increase  $k_{ic}$  in some regimes and decrease it in the other regimes according to the different  $E_{ad}$ . This difference can be attributed to that the off-diagonal terms have different signs when the final vibronic state varies. Figure 3 shows the relative size of the matrix elements of the off-diagonal terms to that of the diagonal terms  $2I_1I_2/(|I_1|^2+|I_2|^2)$ , whose value is between -1 and 1.

Since the Morse potential is asymmetrical unlike the harmonic potential, the direction of the relative displacement  $\Delta q$  between the two PESs matters. Figure 4 shows the 2D contour of the ratio of





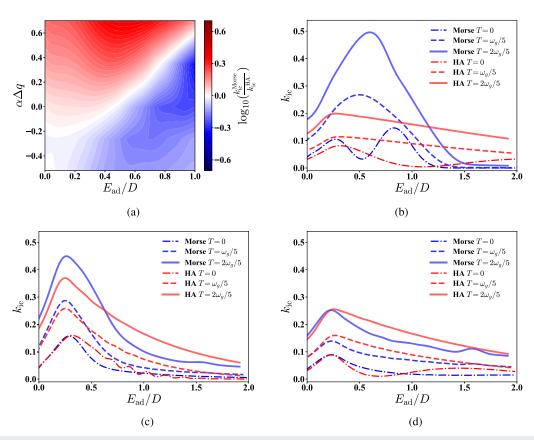
**FIG. 2.** (a) The dependence of  $k_{\rm ic}$  on the adiabatic excitation energy  $E_{\rm ad}/D$  at zero temperature calculated by TD-DMRG with different sizes of primitive basis sets. Only the diagonal terms in Eq. (14) are included. The results in Ref. 31 are also plotted for comparison (black line). (b)  $k_{\rm ic}$  with or without the off-diagonal terms in Eq. (14) calculated by TD-DMRG with d=60. (The displacement is  $\Delta q=0.7/\alpha$ . The virtual bond dimension used is  $M_{\rm S}=4$ . Morse: full treatment of the anharmonic Morse PES. HA: harmonic approximation of the Morse potential.)



**FIG. 3.** The relative size of the matrix elements of the off-diagonal terms to that of the diagonal terms  $2l_1l_2/(|l_1|^2+|l_2|^2)$  defined in Eqs. (14) and (15) at zero temperature. The vibrational wavefunction  $\phi_{\rm g}(q_1,q_2)=\chi^{\rm HA}_{\eta_{9,1}}(q_1)\chi^{\rm HA}_{\eta_{9,2}}(q_2)$  of the final state is characterized by two quantum number— $n_{\rm g,1}$  and  $n_{\rm g,2}$ —which are both ranging from 0 to 11.

 $k_{\rm ic}^{
m Morse}$  on the Morse potential to  $k_{\rm ic}^{
m HA}$  on the harmonic potential with different displacement  $\Delta q$  and adiabatic excitation energy  $E_{\rm ad}$  at temperature  $T = \omega_{\rm g}/5$ . At three representative displacements  $\Delta q = 0.7/\alpha$ ,  $0/\alpha$ , and  $-0.52/\alpha$ ,  $k_{\rm ic}$  with different temperatures is shown in Figs. 4(b)-4(d). The convergence of the primitive basis set is shown in Figs. S2-S4 of the supplementary material. It is obvious that HA could give accurate results when  $E_{ad}$  is relatively small  $(E_{ad}/D \sim 0)$ . In this regime, only the vibronic state at the bottom of the ground state PES is involved in the transition process. For this low-energy state, HA is valid as expected. This situation would be encountered in the charge/energy transfer process between molecules of the same kind and the ISC process in which the energies of the singlet and triplet state are very close such as the thermally activated delayed fluorescence system.<sup>63</sup> However, higher energy and a larger positive displacement make the HA-valid regime much narrower. In the regimes that HA obviously fails, two trends can be found within the current model:

1. When the excited state PES shifts toward the dissociative side of the ground state PES  $[\alpha \Delta q > 0$ , the top half of Fig. 4(a)], HA will first underestimate  $k_{\rm ic}$  and then overestimate  $k_{\rm ic}$  as  $E_{\rm ad}$  increases. In addition,  $k_{\rm ic}$  with the Morse potential drops



**FIG. 4.** (a) The ratio of the internal conversion rate on the Morse potential with respect to that under HA with different displacements and adiabatic excitation energies. The temperature is  $T = \omega_g/5$ . (b)–(d) The dependence of  $k_{\rm ic}$  on the adiabatic excitation energy calculated by TD-DMRG with different displacements, (b)  $\Delta q = 0.7/\alpha$ , (c)  $\Delta q = 0/\alpha$ , and (d)  $\Delta q = -0.52/\alpha$ , at different temperatures (T = 0,  $\omega_g/5$ ,  $2\omega_g/5$ ), with or without HA. The physical and virtual bond dimensions in all these calculations are d = 100 and  $M_S = 4$ . The comparison of the results with different d is shown in the supplementary material.

- much rapidly as  $E_{\rm ad}$  increases compared to that with harmonic potential once the peak is passed [Figs. 4(b) and 4(c)].
- 2. When the excited state PES shifts toward the repulsive side of the ground state PES [ $\alpha\Delta q < 0$ , the bottom half of Fig. 4(a)], HA slightly overestimates  $k_{\rm ic}$  [Fig. 4(d)].

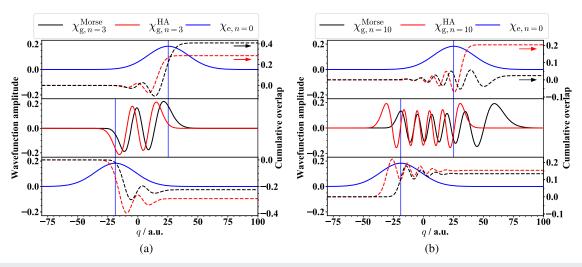
To examine the generality of the trends described above, we also calculate  $k_{\rm ic}$  with D'=D,  $\alpha'=2/3\alpha$  and D'=4/9D,  $\alpha'=\alpha$ . The similar 2D contours shown in Fig. 4(a) are shown in Fig. S5 of the supplementary material. The trends are qualitatively the same. Besides these two trends, in both cases, the higher the temperature, the greater the error of HA.

Two fundamental differences between the vibrational wavefunctions of Morse potential  $\chi^{\text{Morse}}$  and harmonic potential  $\chi^{\text{HA}}$  with the same quantum number n may explain the two trends. First, the amplitude of  $\chi^{\text{Morse}}$  is larger than  $\chi^{\text{HA}}$  on the dissociative side, while smaller on the repulsive side, as shown in the middle panels of Fig. 5(a) (n = 3) and Fig. 5(b) (n = 10). Second, by comparing these two panels,  $\chi^{\text{Morse}}$  spreads very fast to the dissociative side as the quantum number increases, while  $\chi^{HA}$  with the same quantum number is relatively localized. Consequently, when  $\alpha \Delta q > 0$  and the quantum number of the final vibrational state is small ( $E_{\rm ad}$  is small), the larger amplitude of  $\chi^{\rm Morse}$  in the region of the initial vibrational wavefunction  $\chi_{\rm e}$  (n=0) will result in a larger overlap  $S_{\rm g,e}^{\rm Morse}$  and thus a larger Franck-Condon (FC) factor as shown in the upper panel of Fig. 5(a) (n = 3) and so is the transition rate  $k_{\rm ic}$ . As the quantum number increases,  $\chi^{\rm Morse}$  quickly spreads to the dissociative side and the amplitude of  $\chi^{\text{Morse}}$  in the region of  $\chi_{\text{e}}$  decays much more rapidly once the large head of  $\chi^{\text{Morse}}$  crosses  $\chi_{\text{e}}$  compared to the more localized  $\chi^{\rm HA}$ , resulting in a smaller FC factor as shown in the upper panel of Fig. 5(b) (n = 10). Quantitatively,  $S_{g,e}^{Morse}$  decreases from 0.4 to 0.025, while  $S_{g,e}^{HA}$  only decreases from 0.3 to 0.2 when the quantum number increases from 3 to 10. In addition,  $\chi^{\text{Morse}}$  has more nodes than  $\chi^{HA}$  with similar excitation energy, leading to a more serious phase cancellation when calculating the overlap. On the repulsive side, though  $\chi^{Morse}$  is also localized, the amplitude of  $\chi^{Morse}$  is smaller than that of  $\chi^{HA}$ , resulting in a smaller overlap as shown in the lower panel of Figs. 5(a) and 5(b). To understand the temperature effect, Fig. 6 shows that the square of matrix element  $\langle \chi_e(q)|\frac{\partial}{\partial q}|\chi_g(q)\rangle$  in Eq. (16) (playing the role as a prefactor of the FC factor) is relatively larger for the initial state with higher vibrational quanta  $n_e$ . Therefore, when the thermally populated initial states with higher vibrational quanta get involved with the temperature, the error of HA is significantly larger.

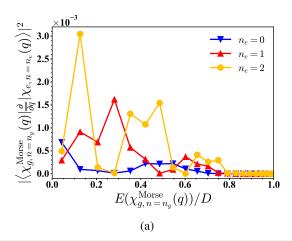
To show the computational complexity of the proposed method with the system size, we increase the system size from 2 to 20 (see the supplementary material for details). Figure S6 shows that the computational cost is linearly dependent on the system size for the current uncoupled model without mode mixing. If the bi-mode coupling term  $\sum_{l < k} \Gamma q_l^2 q_k^2$  is considered, both the size of MPO and the required  $M_S$  will increase. Hence, the computational cost almost grows cubically with the system size.

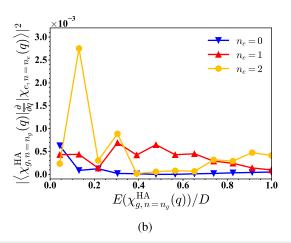
#### B. IC rate of azulene

The proposed method can be applied to the real molecules if the PES is available. As an example to demonstrate the effectiveness and scalability of the method in real molecules, in this section, we calculate the internal conversion rate of azulene from the  $S_1$  state to the  $S_0$  state. Azulene has often been used as a prototypical system to benchmark new methods.<sup>7,28</sup> Here, two types of PES are considered: (i) The harmonic PES expanded around the respective equilibrium geometry of the ground state and excited state. (ii) The ground state PES is approximated by 1-MR along each normal coordinate (the excited state is still considered to be harmonic). As introduced above, 1-MR includes the anharmonicity of 1D cut of the PES along each coordinate. The single point energy, equilibrium



**FIG. 5.** (a) Middle panel: the vibrational wavefunction of the ground state with the quantum number n=3 on the Morse potential (solid black) and approximated harmonic potential under HA (solid red). Upper panel: the lowest vibrational wavefunction of the excited state with  $\Delta q=0.7/\alpha$  (solid blue) and the cumulative overlap between the initial and final wavefunctions (dashed black and red lines). Lower panel: same as the upper panel but with  $\Delta q=-0.52/\alpha$ . (b) same as (a) but the quantum number of the vibrational state of the electronic ground state is n=10.





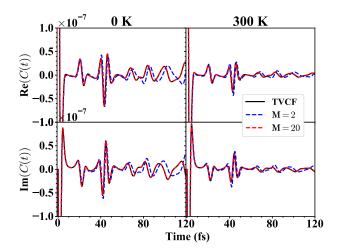
**FIG. 6.** The square of the matrix elements  $\langle \chi_e(q) | \frac{\partial}{\partial q} | \chi_\theta(q) \rangle$  between different initial vibrational states of the electronic excited state (distinguished by vibrational quanta  $n_e$ ) and series of final vibrational states of the electronic ground state [distinguished by the energy  $E(\chi_{g,n})$ ] on (a) Morse potential and (b) harmonic potential under HA. Each triangle, inverted triangle, or circle denotes a state with lines as a guide to the eye. The results are calculated through exact diagonalization. The displacement is  $\Delta q = 0.7/\alpha$ .

geometry, and normal mode analysis of the ground state and excited state of azulene are calculated by density functional theory (DFT) and time-dependent DFT at the B3LYP/6-31G(d) level in Gaussian 16.64 The number of normal modes of azulene is 48. The Duschinsky rotation matrix S and normal mode projected displacement  $\Delta q$  as in Eq. (6) are calculated by the Molecular Material Property Prediction Package (MOMAP).65 The 1-MR PES is constructed by the adaptive density-guided approach (ADGA) implemented in MidasCpp<sup>66</sup> developed by Sparta et al.<sup>67</sup> A total of 741 ab initio points are calculated, and the 1D cut of the PES is fitted with polynomial functions up to the 12th order. The 48 1D PES cuts are shown in Figs. S9-S12 of the supplementary material. It is clear to see that azulene is a semirigid molecule with a well-defined minimum corresponding to the equilibrium geometry. In the TD-DMRG calculations, the coordinates used are the normal coordinates of the ground state. They are arranged in the ascending order of harmonic frequency. The site of the electronic DoF is put to the middle of the chain. The time step is 0.25 fs and the total time of simulation is 425 fs. The primitive basis for each DoF is the harmonic oscillator basis up to 20 quanta. A Lorentzian broadening factor 100 cm<sup>-1</sup> is applied to make the time-integration of TCF converge.

For the harmonic PES, the TVCF method<sup>5,27</sup> (implemented in MOMAP<sup>65</sup>) is analytically exact and thus serves as a reference here. For comparison, the same time step and total evolution time are used in TVCF. The TCF C(t) in Eq. (24) calculated by TVCF and TD-DMRG with different bond dimensions  $M_S$  are shown in Fig. 7. The results with  $M_S = 2$  (blue dashed line) deviate from the exact value after 40 fs and thus are not accurate enough to calculate  $k_{\rm ic}$  (see Table I). The results with  $M_S = 20$  (red dashed line) are consistent with the exact values at the resolution scale shown in Fig. 7. The transition rates  $k_{\rm ic}$  are listed in Table I. The analytically exact value is  $2.17 \times 10^{10}$  s<sup>-1</sup> at 0 K and  $2.44 \times 10^{10}$  s<sup>-1</sup> at 300 K. The results of TD-DMRG converge very fast with  $M_S$ , and  $M_S = 20$  could obtain a quantitatively accurate rate— $2.11 \times 10^{10}$  s<sup>-1</sup> at 0 K and  $2.32 \times 10^{10}$  s<sup>-1</sup> at 300 K. The computational wall-clock time for

the whole simulation with  $M_S$  = 20 is 35 min at 0 K and 6 h 33 min at 300 K with 4 Intel Xeon Gold 5115 central processing unit (CPU) cores and 1 NVIDIA V100 graphics processing unit (GPU) card.

Although on the harmonic potential the TD-DMRG method is definitely much more expensive than the TVCF method, TD-DMRG could go beyond HA and the cost is not expected to increase very much depending on the specific form of the anharmonic PES. For the anharmonic PES of azulene approximated by 1-MR, the results with different  $M_S$  are also listed in Table I. The results of TD-DMRG still converge very fast with  $M_S$ , and at  $M_S = 60$ ,  $k_{\rm ic}$  is  $2.89 \times 10^{10}~{\rm s}^{-1}$  at 0 K and  $3.37 \times 10^{10}~{\rm s}^{-1}$  at 300 K, which is roughly 30%-40% higher than the results of the harmonic PES. The results are consistent



**FIG. 7.** The real and imaginary part of the time correlation function C(t) at T=0 K and T=300 K calculated by the analytically exact TVCF method implemented in MOMAP<sup>65</sup> (black solid line) and TD-DMRG with bond dimension  $M_S=2$  (blue dashed line) and  $M_S=20$  (red dashed line).

**TABLE I.** The internal conversion rate  $k_{\rm ic}$  of azulene from the  $S_1$  state to the  $S_0$  state with the harmonic PES and with the anharmonic 1-MR PES calculated by TD-DMRG with different bond dimensions  $M_S$ . The analytically exact results with the harmonic PES calculated by the TVCF are also listed.

		$k_{\rm ic} \ (\times 10^{10} \ {\rm s}^{-1}) \ {\rm at} \ 0 \ {\rm K}$		$k_{\rm ic} \ (\times 10^{10} \ {\rm s}^{-1}) \ {\rm at} \ 300 \ {\rm K}$	
Method		HA	1-MR	HA	1-MR
TVCF		2.17		2.44	
TD-DMRG	$M_S = 2$	0.44	1.08	1.31	2.05
	$M_S = 5$	1.30	1.98	1.85	2.72
	$M_S = 10$	1.98	2.69	2.21	3.13
	$M_S = 20$	2.11	2.83	2.32	3.27
	$M_S = 40$	2.15	2.88	2.40	3.35
	$M_S = 60$	2.16	2.89	2.41	3.37

with the findings in the two-mode model above. For the multi-mode molecule in the weak coupling limit with all the Huang-Rhys factor  $S_i$  < 1 (Fig. S13 shows  $S_i$  of azulene), it has been known that the most probable final states prefer to simultaneously excite several vibrational modes to accept the electronic energy together rather than excite only one mode to a very high energy level.<sup>68</sup> Therefore, for each mode, the energy received is in the small to medium regime in which the rate on the Morse potential is mainly larger than that on the harmonic potential (Fig. 4). The computational wall-clock time for the whole simulation with  $M_S = 20$  is 26 min at 0 K and 7 h 1 min at 300 K, which is similar to the harmonic case. This is because the modes are still independent in the 1-MR PES, and thus, the bond dimension  $M_O$  of MPO does not change and the required  $M_S$  for the same accuracy is also roughly the same from Table I. When the 2-MR PES is considered, we expect that the cost spent in TD-DMRG will increase because both  $M_S$  and  $M_O$  will increase but will still be affordable. However, to construct the 2-MR PES for azulene needs at least 100 000 single point ab initio calculations (assuming ten grids on each coordinate), which will, in turn, become the bottleneck of the whole calculation.

#### IV. CONCLUSION

In this work, we propose to use TD-DMRG to calculate the rate of the molecular nonradiative electronic relaxation process based on Fermi's golden rule. First, we calculate the internal conversion rate of a two-mode model system with Morse potential and assess the validity of the harmonic approximation. We emphasize that the offdiagonal terms neglected in the former studies are also important to the transition rate, and the harmonic approximation is unsatisfactory in a large parameter regime unless only the lowest several vibrational states of the lower electronic state are involved in the transition process when the adiabatic excitation energy is relatively low. Since the Morse potential is asymmetrical, the error of the harmonic approximation strongly depends on the direction of the shift of the excited state potential energy surface with respect to the ground state. When  $\alpha \Delta q > 0$ , the harmonic approximation will first underestimate the IC rate and then overestimate it as the excitation energy increases. This is due to that the amplitude of the wavefunction on the Morse potential is larger than that of the harmonic potential in the dissociative side, but the wavefunction spreads quickly with energy while the harmonic wavefunction is much more localized. Hence, the Franck-Condon factor between the initial and final states on the Morse potential is first larger and then smaller than that under the harmonic approximation. When  $\alpha \Delta q < 0$ , the harmonic approximation will slightly overestimate the IC rate because the wavefunction on the Morse potential is also localized on this side, but the amplitude is smaller. Moreover, higher temperatures will enlarge the error of the harmonic approximation. Second, we calculate the internal conversion rate of azulene. Under the harmonic approximation, the results are consistent with the analytically exact results calculated by the thermal vibration correlation function method. On the anharmonic PES approximated by the one-mode representation, the results are 30%-40% higher than that on the harmonic PES, indicating that in this semi-rigid system, the anharmonic effect on the IC process is not very strong. The computational cost is roughly the same compared to the harmonic case, which demonstrates the effectiveness and scalability of the current method to be applied to large polyatomic molecules. It should be mentioned that though we focus on the rate of the internal conversion process in the numerical examples in this work, the same approach could also be used in the calculation of the intersystem crossing rates. Finally, floppy molecules, such as the aggregation-induced emission systems,<sup>69</sup> may have a significant anharmonic effect on the IC process; thus, applying the current TD-DMRG method to these systems with the ab initio anharmonic potential energy surface is worth further study.

# SUPPLEMENTARY MATERIAL

See the supplementary material for the internal conversion rates  $k_{\rm ic}$  of the two-mode model calculated by TD-DMRG with different virtual bond dimensions  $M_5$ , physical bond dimension d, and different Morse potential parameters. The 1D cuts of the azulene ground state PES can also be found.

#### **ACKNOWLEDGMENTS**

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#### **DATA AVAILABILITY**

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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